Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
Subramanian	8	No objection.	N/A	
Subramanian	9	No objection.	N/A	
Subramanian	10	No objection.	N/A	
Subramanian	11	No objection.	N/A	
Subramanian	12	No objection.	N/A	
Subramanian	13	No objection.	N/A	
Subramanian	53	No objection.	N/A	
Subramanian	81	No objection.	N/A	
Subramanian	229	No objection.	N/A	
Elon Musk	8	No objection.	N/A	
Elon Musk	9	No objection.	N/A	
Elon Musk	10	No objection.	N/A	
Elon Musk	11	No objection.	N/A	
Elon Musk	12	No objection.	N/A	
Elon Musk	13	No objection.	N/A	
Elon Musk	53	No objection.	N/A	
Elon Musk	75	Fed. R. Evid. 401, 402, 602, 801, 805. Exhibit	Exhibit 75 is not hearsay (nor	R. The exhibit
		75 is a hearsay email from April 14, 2017 to	hearsay within hearsay) because	may be admitted
		Mr. O'Connell summarizing a meeting with	Defendants aren't offering it for the	for the non-
		H.E. Mr. Yasir Al-Rumayyan. Defendants seek	truth of the matter asserted, i.e., to	hearsay purpose
		to use this email for the truth of the matter	prove that Mr. Musk did in fact	of showing Mr.
		asserted to show that Mr. Musk met with the	meet "with Yasir who heads the	Musk's state of
		PIF in April of 2017, and that the PIF was	Public Investment Fund." Mr.	mind provided
		"keen to invest large \$s in Tesla." The email	Musk will testify independent of	that Defendants
		itself is hearsay, as well as the summary of the	this document about his meetings	establish that Mr.
		meeting. It is improper hearsay and hearsay	with the PIF. Defendants seek to	Musk saw the
		within hearsay under 801 and 805. Mr. Musk is	offer Exhibit 75 and similar exhibits	email.
		not a party to the email and therefore lacks the	to show Mr. Musk's/Tesla's state of	
		requisite knowledge under 602. 401 & 403	mind/understanding regarding the	
		Objection : This email has no probative value;	PIF's longstanding and continued	
		falsity has already been decided and this has no	interest in Tesla, which began in	
		relevance to materiality as it reflects a potential	2016 and culminated in July 2018.	

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		meeting with the PIF significantly before	As the Court explicitly held, "Mr.	
		August 2018. While there were a few meeting	Musk's state of mind is relevant."	
		with the PIF in 2016 and 2017, they did not	(1/18 Tr. at 285:16-286:5.) On	
		generate interest from Elon Musk, and in fact	January 18, 2022, the Court went to	
		Mr. Musk has previously testified that he did	great lengths to provide its "view of	
		not have any interest in proceeding with the	the evidence." (<i>Id.</i>) The Court	
		PIF. This exhibit and similar such exhibits are	expected the parties would	
		going to be used by Defendants to dilute the	incorporate the Court's view to	
		Court's summary judgment ruling on falsity.	reduce the substantial	
		Evidence like this will certainly confuse the	objections/responses the parties	
		jury especially where "the Court has already	were making to the evidence	
		found that the August 2018 tweets were false	(Plaintiff's prior responses were	
		and made with the requisite scienter." ECF	108 pages). (<i>Id.</i> at 283:23-287:12.)	
		No. 398 at 3. Currently, the jurors have only	However, in response to the Court's	
		been instructed that they are to assume the	directive to <i>reduce</i> objections,	
		tweets were false.	Plaintiff <i>added</i> objections that he	
			had not made before (e.g., Rule 401	
			and 403) and did not remove his	
			initial objections. Exhibit 75 is	
			squarely relevant to Mr. Musk's and	
			Tesla's state of mind. Plaintiff's	
			belated objections are contrary to	
			the Court's recent guidance and	
			should be overruled.	
			(Further, Exhibit 75 would qualify	
			as a business record under Fed. R.	
			Evid. 803(6).)	
Elon Musk	76	Fed. R. Evid. 401, 402, 602, 801, 805. Exhibit	See Defendants' response to Exhibit	R. The exhibit
Zion Musik	, 0	76 is a hearsay email chain between February	75.	may be admitted
		12-April 15, 2017 with Mr. Teller, Mr. Ahuja,	, 5.	for the non-
		and representatives from the PIF titled "Re:		hearsay purpose
		Tesla Discussion". The email chain contains a		of showing Mr.

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		number of improper hearsay conversations		Musk's state of
		including, requesting a call to follow up about		mind provided
		what Mr. Musk and H.E. Mr. Yasir Al-		that Defendants
		Rumayyan spoke about in San Francisco		establish that Mr.
		(TE76-4), scheduling for the call (TE76-2-4),		Musk saw the
		following up after the February call in April		email.
		2017 about "conversation that we had earlier",		
		and looping in Deepak. Defendants will use		
		this chain for the truth of the matter asserted to		
		show that meetings and conversations did		
		occur in early 2017, and to show that the PIF		
		was interested in meeting and speaking with		
		Mr. Musk. The email itself is hearsay, as well		
		as the contents of the emails about		
		"conversations we had earlier". It is improper		
		hearsay and hearsay within hearsay under 801		
		and 805. Mr. Musk is not a party to the email		
		and therefore lacks the requisite knowledge		
		under 602. 401 & 403 Objection for Exhibit		
F1 M 1	0.1	75.	NY/A	
Elon Musk	81	No objection.	N/A	
Elon Musk	83	No objection.	N/A	
Elon Musk	87	No objection.	N/A	
Elon Musk	92	No objection.	N/A	
Elon Musk	93	Fed. R. Evid. 801. Exhibit 93 is an August 10,	See Defendants' response to Exhibit	О
		2018 follow-up email from Mr. Musk to Mr.	75. Exhibit 93 is not hearsay	
		Maron, Mr. Ahuja, and Mr. O'Brien stating to	because it is not being offered for	
		"let the media know that the [PIF] has given us	the truth of the matter asserted (i.e.,	
		permission to acknowledge that they are	to prove that the PIF did in fact give	
		working on a deal with Tesla that includes	Tesla "permission to acknowledge"	
		support of the go private transaction." This	the PIF's involvement). Rather, it	
		email was sent directly after Mr. Musk emailed	reflects Mr. Musk's state of mind	
		Mr. Maron, Mr. Ahuja, and Ms. O'Brien a	related to his understanding of the	

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		screenshot of a text message from H.E. Mr.	status of discussions with the PIF	
		Yasir Al-Rumayyan to Mr. Musk on August	and their level of their interest in	
		10. Defendants seek to rely on this email for	financing the transaction to take	
		the truth of the matter asserted that the PIF	Tesla private.	
		gave Tesla permission to acknowledge they		
		were working on a deal with Tesla, and that the		
		PIF supported the go private transaction.		
Elon Musk	101	No objection.	N/A	
Elon Musk	105	Fed. R. Evid. 401, 402, 801. Exhibit 105 is a	See Defendants' response to Exhibit	0
		hearsay email chain dated November 2016	75.	
		from Saad Aljarboa, a representative of the		
		PIF, attempting to schedule a meeting for the		
		following week between Mr. Musk and H.E.		
		Mr. Yasir Al-Rumayyan. See 401 & 403		
		Objection for Exhibit 75.		
Elon Musk	106	Fed. R. Evid. 401, 402, 602, 801. Exhibit 106	See Defendants' response to Exhibit	0
		is a hearsay email chain between Ms. Gallagher	75. Further, Mr. Musk is on the	
		and Mr. Musk dated January 31, 2017 with the	email chain so he has personal	
		subject line "Yasir wants to meet in SF".	knowledge of it.	
		Defendants will use this email for the truth of		
		the matter asserted to show that H.E. Mr. Yasir		
		Al-Rumayyan wanted to or did meet with Mr.		
		Musk in early 2017, and is therefore hearsay.		
		Further, Mr. Musk does not have actual		
		knowledge of the communications between		
		Ms. Gallaher and H.E. Mr. Yasir Al-		
		Rumayyan. See 401 & 403 Objection for		
		Exhibit 75.		
Elon Musk	107	Fed. R. Evid. 401, 402, 801, 805. Exhibit 107	See Defendants' response to Exhibit	O
		is a hearsay email chain in February and March	75.	
		2017 between Mr. Musk and members of the		
		PIF, including H.E. Mr. Yasir Al-Rumayyan		
		with the subject line "OpenAI Funding".		

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		Defendants will use this email for the truth of		
		the matter asserted to show that H.E. Mr. Yasir		
		Al-Rumayyan wanted to or did meet in San		
		Francisco in early 2017, that the PIF was		
		interested in or did invest in OpenAI funding,		
		that the PIF wanted to know how to proceed,		
		and/or that Mr. Musk subsequently called H.E.		
		Mr. Yasir Al-Rumayyan. The email itself is		
		hearsay, as well as the contents of the emails. It		
		is improper hearsay and hearsay within hearsay		
		under 801 and 805. See 401 & 403 Objection		
		for Exhibit 75.		
Elon Musk	109	Fed. R. Evid. 602, 801. Exhibit 109 is a	See Defendants' response to Exhibit	R. The exhibit
		hearsay email chain between Mr. Teller and	75.	may be admitted
		members of the PIF. Defendants seek to		for the non-
		introduce this email for the truth of the matter		hearsay purpose
		asserted, including that H.E. Mr. Yasir Al-		of showing Mr.
		Rumayyan wanted to meet with Mr. Musk in		Musk's state of
		July 2018, that a meeting was scheduled for		mind provided
		July 31, 2018, and that meeting took place on		that Defendants
		July 31, 2018. The email itself is hearsay, as		establish that Mr.
		well as the contents of the emails. It is		Musk saw the
		improper hearsay and hearsay within hearsay		email.
		under 801 and 805. Mr. Musk is not on the		
		email chain and therefore lacks personal		
		knowledge of the contents of this Exhibit. See		
		401 & 403 Objection for Exhibit 75.		
Elon Musk	121	No objection.	N/A	
Elon Musk	141	Fed. R. Evid. 401, 402, 403, 601, 801. Exhibit	See Defendants' response to Exhibit	R. The exhibit
		141 is a hearsay email chain dated March 30,	75.	may be admitted
		2018 between Mr. Teller and representatives of		for the non-
		the PIF, attempting to schedule a meeting		hearsay purpose
		between Mr. Musk and H.E. Mr. Yasir Al-		of showing Mr.
		Rumayyan. Mr. Teller then forwards the		Musk's state of

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		meeting to Mr. Viecha indicating that "Yasir		mind provided
		and Elon have met a couple of times" and the		that Defendants
		PIF is "gigantic". This description is		establish that Mr.
		prejudicial under 403 and given the time, its		Musk saw the
		probative value does not outweigh the		email.
		prejudice. Defendants will use this email for		
		the truth of the matter asserted to show that		
		H.E. Mr. Yasir Al-Rumayyan wanted to meet		
		with Mr. Musk, and Mr. Musk wanted to meet		
		with H.E. Mr. Yasir Al-Rumayyan going back		
		to and in March 2018. They will also use it to		
		show that "Elon and Yasir" had met and that		
		the fund was "gigantic". The email is hearsay		
		and the contents of the email are hearsay within		
		hearsay. Mr. Musk is not a party to this email		
		chain and therefore does not have personal		
		knowledge of its contents under 602. <i>See</i> 401 & 403 Objection for Exhibit 75.		
Elon Musk	165	No objection.	N/A	
Elon Musk	171	No objection.	N/A	
Elon Musk	179	No objection.	N/A	
Elon Musk	229	No objection.	N/A	
Elon Musk	236	No objection.	N/A	
Elon Musk	243	No objection.	N/A	
Elon Musk	254	No objection.	N/A	
Elon Musk	322	No objection.	N/A	
Elon Musk	361	No objection.	N/A	
Elon Musk	789	Fed. R. Evid. 401, 402. Exhibit 789 is an email	See Defendants' response to Exhibit	0
		from Mr. Teller to Mr. Musk on April 26, 2017	75.	
		forwarding an email from representatives of the		
		PIF requesting a meeting with Mr. Musk in		
		April/May 2017. Mr. Teller tells Mr. Musk		
		"Regardless of Tesla's financing plans it might		

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		be useful to get his financial support for		
		OpenAI". See 401 & 403 Objection for		
		Exhibit 75.		
Elon Musk	818	No objection.	N/A	
Elon Musk	825	Fed. R. Evid. 106, 403, 801, 805. Exhibit 825	See Defendants' response to Exhibit	S for lack of
		is a hearsay email dated August 12, 2018 from	75. Exhibit 825 is not hearsay (nor	completeness.
		Mr. Musk to Mr. Maron and Mr. Teller with	hearsay within hearsay) because	
		the subject "Privileged" that attaches a number	Defendants are offering it to show	
		of text messages between Mr. Musk and H.E.	Mr. Musk's state of mind and what	
		Mr. Yasir Al-Rumayyan. Defendants omit	the PIF expressed to him, rather	
		from the exhibit the attached text messages. In	than the truth of what they	
		the email Mr. Musk indicates that "Yasir has	expressed to him: "There is no	
		tried to call me several times today", that Mr.	question that PIF said they were	
		Musk would not take the call unless "he takes	was all-in for a Tesla take-private	
		action to correct the public record", and	when we met two weeks ago and I	
		summarizes Mr. Musk's version of events from	clarified whether Yasir was the	
		the July 31, 2018 meeting between Mr. Musk	decision-maker and he said yes	
		and the PIF. Defendants will seek to introduce	unequivocally and, moreover, that	
		this email for the truth of the matter asserted	this was fully backed by the crown	
		that H.E. Mr. Yasir Al-Rumayyan tried calling	Prince." Exhibit 825 is a	
		Mr. Musk and that Mr. Musk's versions of	contemporaneous and highly	
		events actually occurred at the meeting. The	probative reflection of Mr. Musk's	
		email is hearsay and Mr. Musk's summary of	understanding of his dealings with	
		the meeting that took place almost 2 weeks	the PIF. Plaintiff does not and	
		before is hearsay within hearsay and is too far	cannot explain how any unfair	
		removed to fall under 803(1) or 803(3). This	prejudice of Exhibit 825 (there is	
		email is incomplete under 106 as it does not	none) substantially outweighs the	
		include the attached images to the email which	probative value of Mr. Musk's then	
		consists of Mr. Musk's text messages with H.E.	state of mind. As the Court	
1		Mr. Yasir Al-Rumayyan. To the extent that the	explicitly held, "Mr. Musk's state	
		Court allows this exhibit, Defendants should be	of mind is relevant." (1/18 Tr. at	
		required to produce it with all of its	285:16-286:5.)	
		attachments. Additionally the prejudice of this		
<u>I</u>		email outweighs the benefits as it is after the		

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Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		start of the SEC investigation, was made 2 weeks after the initial meeting and is also therefore prejudicial under 403. <i>See</i> Attached Updated Exhibit 825.		
Elon Musk	827	Fed. R. Evid. 401, 402, 801. Exhibit 827 is a calendar invite for March 8, 2017 from "EMDesk" with the subject "7:30 PM: Tour w/ Masa, Larry & Yasir" including a written itinerary for the day. Defendants will use this invite for the truth of the matter asserted that Mr. Musk met with Masa, Larry & Yasir on March 8, 2017 and that the events in the invite occurred. This is improper hearsay under 801. See 401 & 403 Objection for Exhibit 75.	See Defendants' response to Exhibit 75.	R. The exhibit may be admitted for the non-hearsay purpose of showing Mr. Musk's state of mind provided that Defendants lay the requisite foundation showing Mr. Musk's awareness of the calendar entry
Elon Musk	979	Fed. R. Evid. 401, 402. No Court action needed.	Plaintiff seeks to selectively show the jury single tweets by Mr. Musk outside of the class period in an effort to prove that Mr. Musk uses Twitter recklessly. (See, e.g., Plaintiff's intended use of Exhibit 321.) Exhibit 979, which—unlike Exhibit 321—is within the class period, rebuts Plaintiff's argument and reflects Mr. Musk's actual typical use of Twitter.	S. The tweet is after, not before, the 8/7/18 tweets at issue – not relevant to Section 20(a) claim.
Elon Musk	980	Fed. R. Evid. 106, 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	981	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
Elon Musk	982	Fed. R. Evid. 401, 402, 403, 404, 801. Exhibit 982 is a thread of August 10, 2018 Tweets from Musk at 2:08 a.m. The tweets in Exhibit 982 are related to Musk "literally saving" Johnna Crider's life by replying to one of her tweets. It also includes Musk response which talks about his "Nana" and to "Believe in good." This thread has nothing to do with the going private transaction or events at issue in this case and does not have the tendency to make a fact more or less probable. They are therefore, irrelevant and not admissible. Defendants seek to introduce this exhibit for the truth of the matter asserted, that Mr. Musk did in fact save Ms. Crider's life. This is improper character evidence and is highly prejudicial to Plaintiff and risks confusion to the jury.	See Defendants' response to Exhibit 979. Defendants are not seeking to use Exhibit 979 for the truth of the matter asserted or for character evidence. Exhibit 979 is relevant to rebut Plaintiff's claims that, and the tweets Plaintiff will introduce to argue that Mr. Musk uses Twitter recklessly	S
Elon Musk	983	Fed. R. Evid. 106, 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	984	Fed. R. Evid. 106, 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	985	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	986	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	987	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	988	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S
Elon Musk	989	Fed. R. Evid. 401, 402. No Court action needed.	See Defendants' response to Exhibit 979.	S

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
Elon Musk	990	Fed. R. Evid. 106, 401, 402, 403, 404.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	991	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	992	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	993	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	994	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	995	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	996	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	997	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	998	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	999	Fed. R. Evid. 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	1000	Fed. R. Evid. 106, 401, 402.	See Defendants' response to Exhibit	S
		No Court action needed.	979.	
Elon Musk	1001	Fed. R. Evid. 801. Exhibit 1001 is an email	Exhibit 1001 is not hearsay because	O
		from Ron Baron to Elon Musk on August 7,	Defendants are not offering it for	
		2018 indicating that if Tesla goes private, Ron	the truth of the matter asserted (i.e.,	
		Baron would like to "continue to be an	to prove that Mr. Baron did in fact	
		investor". Defendants use this email for the	want to invest in a private Tesla).	
		truth of the matter asserted to show that Mr.	Rather, Defendants are offering	
		Baron in fact wanted to be an investor and is	Exhibit 1001 to reflect Mr. Musk's	
		therefore improper hearsay under 801.	state of mind (i.e., whether he had a	
			reasonable basis to believe Tesla	
			investors were supportive of his	
			consideration of taking Tesla	

Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
			private). Shortly after receiving the	
			email in Exhibit 1001 from Mr.	
			Baron, Mr. Musk tweeted his belief	
			that he had investor support to take	
			Tesla private. In the days that	
			followed, Mr. Musk published a	
			blog post reflecting his belief that	
			many of the current Tesla investors	
			would roll over their shares into a	
			private Tesla. Exhibit 1001	
			provides a basis for Mr. Musk's	
			beliefs. As the Court explicitly	
			held, "Mr. Musk's state of mind is	
			<i>relevant.</i> " (1/18 Tr. at 285:16-	
			286:5.)	
Brinkman	10	No objection.	N/A	
Brinkman	18	No objection.	N/A	
Brinkman	22	No objection.	N/A	
Brinkman		No objections.	N/A	
(Defendants'				
Counter				
Designations)				
Viecha	8	No objection.	N/A	
Viecha	12	No objection.	N/A	
Viecha	13	No objection.	N/A	
Viecha	145	Fed. R. Evid. 801. Exhibit 145 is an email	Exhibit 145 reflects, as the Court	О
		chain between Mr. Viecha and Mr. Hogner	put it, "the market's perception" of	
		regarding whether the "tweets are real".	Mr. Musk's tweets, which the Court	
		Defendants use this email to show the truth of	held "will generally be deemed	
		the matter asserted, that Mr. Hogner wanted to	relevant and admissible for a non-	
		know whether the tweets were real, to show	hearsay purpose." (ECF No. 575 at	
		that the "Tweets are official", and/or that Mr.	2.) Defendants, however, continue	
			to maintain their objections to	

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Witness	Ex.	Plaintiff's Objection	Defendants' Response	Ruling
		Hogner was "Congratulat[ing]" Tesla for going private. It is therefore hearsay under 801.	Plaintiff's efforts to cherry pick and show the jury selective analyst reports and news articles that support their case. (<i>See</i> , <i>e.g.</i> , Exs. 15, 23.)	
Viecha	161	No objection.	N/A	
Viecha	171	No objection.	N/A	
Viecha	336	Fed. R. Evid. 801, 805. Exhibit 336 is an August 14, 2018 email from Mr. Viecha to Mr. Musk regarding "UBS wealth management['s]" ability to invest in a private Tesla and noting "they would like to start a dialogue." Defendants use this email for the truth of the matter asserted that UBS was able and wanted to invest billions in a private Tesla. The email to Mr. Musk is hearsay and the summary of the conversation with UBS is hearsay within hearsay.	See Defendants' responses to Exhibits 145 and 1001.	S. The exhibit does not inform Mr. Musk's state of mind relative to the 8/7/18 tweets in question and is not probative of investor market interpretation of or reaction to the tweets.
Viecha	975	No objection.	N/A	